

## Eligible Contract Participant Notice



If you are based in the United States, we are only able to open an account for you if you are an "Eligible Contract Participant" as defined in Section 1a (12) of the Commodities and Exchanges Act ("CEA") and provide evidence to prove your status. By signing this document, you represent and warrant to Alpari UK Limited that you are, and will remain for the term of the Customer Agreement, an Eligible Contract Participant and that you are entering into this agreement for your own account. These representations and warranties you give are in addition to (and in no way affect) representations and warranties given under the Customer Agreement.

The provisions of 1a (12) of the CEA are summarized at the end of this document. If you are unsure as to whether you are an Eligible Contract Participant, you should take your own independent advice on this.

We require supporting documentation to show evidence of your total assets. Please note that without this supporting evidence, we will not be able to open an account for you. The following documents will be considered acceptable evidence of your total assets:

- a recent full month's bank statement;
- a reference from your stockbroker or accountant; or
- copies of share certificates of other evidence of title to liquid assets.

I have read and understood the terms of this document and I represent and warrant to Alpari (UK) Limited that I am, and will remain for the term of the Customer Agreement, an Eligible Contract Participant and that I am entering into this agreement for my own account.

> Signature \_\_\_\_\_

Date \_\_\_\_\_

Print Name \_\_\_\_\_